



Carrier

A United Technologies Company

Carrier Corporation
IP Department
Carrier Parkway
P. O. Box 4800
Syracuse, New York 13221

URGENT

DATE: September 25, 2001

TO: Tammy
WALL, MARJAMA & BILINSKI
425-9114

FROM: Rena Sgambellone
(315) 433-4180, (315) 433 4075 (facsimile)

TTL PAGES: 2

Attached is the first page of our Deposit Account 03-0835 for August 2001 showing Docket No. 9940 charge. Have a good day.

Rena ☺

CAUTION

This facsimile message contains private or other sensitive information of the sender and is intended solely for the recipient named above. If you are not the intended recipient: (1) You should hold this message in confidence and be aware that any disclosure, copying, distribution or use of this information is prohibited. (2) Please notify the sender by telephone (collect). (3) Please return this message to the sender at the address given above via the U.S. Postal Service. We appreciate your cooperation and will reimburse you for your cost of postage.



U.S. Patent and Trademark Office

OFFICE OF FINANCE

9/10/01
emailed to
Michelle Taylor
acctg.

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Deposit Account Statement

Requested Statement Month: August 2001
Deposit Account Number: 030835
Name: CARRIER CORPORATION
Attention: ATTN: WILLIAM W. HABELT
Address: ADMINISTRATIVE & RES BLDG
City: SYRACUSE
State: NY
Zip: 13221

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL		
08/01	19	5752313	1638 7384	183	\$850.00 ✓	\$76,176.92	700-04	
08/01	20	4922728	1637 6934	185	\$2,990.00 ✓	\$73,186.92	700-04	
08/01	21	5301771	1636 7280	184	\$1,950.00 ✓	\$71,236.92	700-04	
08/01	43	09115463	60,246-023	142	\$1,240.00 ✓	\$69,996.92		
08/01	44	09115463	1642 60,246-023	561	\$30.00 ✓	\$69,966.92	700-04	
08/01	71	09916875	10293	101	\$710.00 ✓	\$69,256.92		
08/01	72	09916875	1641 10293	103	\$18.00 ✓	\$69,238.92	700-04	
* →	08/01	73	9916876	9940	101	\$710.00 ✓	\$68,528.92	
→	08/01	74	9916876	1640 9940	103	\$450.00 ✓	\$68,078.92	700-04
	08/01	264	PCT/US01/23711	9948 PC	150	\$240.00 ✓	\$67,838.92	
	08/01	265	PCT/US01/23711	9948 PC	802	\$846.00 ✓	\$66,992.92	
	08/01	266	PCT/US01/23711	9948 PC	800	\$382.00 ✓	\$66,610.92	700-02
	08/01	267	PCT/US01/23711	9948 PC	899	\$492.00 ✓	\$66,118.92	
	08/01	268	PCT/US01/23711	9948 PC	566	\$15.00 ✓	\$66,103.92	
	08/02	247	09127108	RUSEL 012A	142	\$1,240.00 ✓	\$64,863.92	
	08/02	248	09127108	1639 RUSEL 012A	561	\$30.00 ✓	\$64,833.92	700-04
	08/03	10	09848199	1631 10180	581	\$40.00 ✓	\$64,793.92	700-04
	08/07	159	08759394	1648 8522	141	\$1,240.00 ✓	\$63,553.92	700-04
	08/07	235	09849705	1645 10177	105	\$130.00 ✓	\$63,423.92	700-04
	08/07	276	09486296	9370 PCT	215	\$66.00 ✓	\$63,368.92	
	08/08	9	09486296	9370 PCT	215	\$55.00 ✓	\$63,423.92	
	08/08	10	09486296	1650 9370 PCT	115	\$110.00 ✓	\$63,313.92	700-04
	08/08	106	09363808	1649 8812A	141	\$1,240.00 ✓	\$62,073.92	700-04
	08/08	107	09363808	1647 8812A	120	\$310.00 ✓	\$61,763.92	700-04

FAX Transmission Sheet

WALL MARJAMA & BILINSKI, LLP

Intellectual Property Practice

101 South Salina Street, Suite 400
Syracuse, NY 13202
Telephone: (315) 425-9000
Facsimile: (315) 425-9114

Date: November 5, 2001

To: Preston Wallace

703-308-7751

From: Tammy Senecal

Subject: Attorney Docket No. 9940
U.S. Serial No. 09/916,876

You should receive 1 page(s) including this cover sheet. If you do not receive all pages, please call (315) 425-9000.

COMMENTS:

Dear Mr. Wallace:

On September 25, 2001, I faxed information to you regarding an error in the Missing Parts of NonProvisional Application which is due on November 11, 2001. However, you had instructed me back in September (per our telephone call) to hold the previous Missing Parts because you would issue a new one with the correct information.

Can you please let me know how long it will be before we receive the new Missing Parts?

You may contact me at anytime or send a return fax. I do not want to have to file an extension of time after November 11, 2001. Please contact me should you have any other questions or concerns. Thank you for your assistance.

Very truly yours,

Tammy Senecal

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WALL MARJAMA & BILINSKI, LLP



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/916,876	07/30/2001	Bradley Reisfeld	9940

CONFIRMATION NO. 8959

020874
WALL MARJAMA & BILINSKI
101 SOUTH SALINA STREET
SUITE 400
SYRACUSE, NY 13202

FORMALITIES LETTER



OC000000006542398

Date Mailed: 09/11/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$450.
 - \$450 for 25 total claims over 20.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1290. ✓

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

FAX Transmission Sheet

WALL MARJAMA & BILINSKI

Intellectual Property Practice

101 South Salina Street, Suite 400
Syracuse, NY 13202
Telephone: (315) 425-9000
Facsimile: (315) 425-9114

Date: September 25, 2001
To: Preston Wallace
From: Tammy Senecal
Subject: Attorney Docket No. 9940
FAX #: 703-308-7751

You should receive 3 page(s) including this cover sheet. If you do not receive all pages, please call (315) 425-9000.

COMMENTS:

Dear Mr. Wallace:

Attached please find the Deposit Account Statement from the USPTO showing a charge to Deposit Account 03-0835 on August 1, 2001 for the filing fee of \$710.00 and the Claim fee of \$450.00. Our missing parts is due by November 11, 2001. Please let me know how you wish to handle or if you will be submitting a corrected Notice to File Missing Parts?

Thank you for your assistance.

Very truly yours,

Tammy Senecal

IMPORTANT - THIS MESSAGE IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED, AND MAY CONTAIN INFORMATION THAT IS PRIVILEGED, CONFIDENTIAL AND EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT, OR THE EMPLOYEE OR AGENT RESPONSIBLE TO DELIVER IT TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT READING, DISSEMINATING, DISTRIBUTING OR COPYING THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE IMMEDIATELY NOTIFY US BY TELEPHONE, AND DESTROY THE COMMUNICATION. THANK YOU.

WALL MARJAMA & BILINSKI

FAX Transmission Sheet

WALL MARJAMA & BILINSKI, LLP

Intellectual Property Practice

101 South Salina Street, Suite 400

Syracuse, NY 13202

Telephone: (315) 425-9000

Facsimile: (315) 425-9114

Date: January 14, 2002

To: Preston Wallace

Fax: 703-308-7751

From: Tammy Senecal

Subject: MISSING PARTS

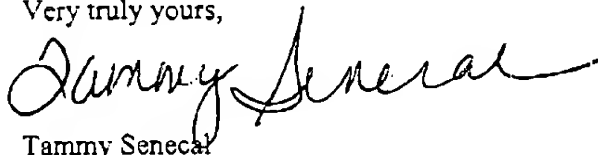
You should receive ⁶page(s) including this cover sheet. If you do not receive all pages, please call (315) 425-9000.

COMMENTS:

Mr. Wallace:

Attached please find correspondence relating to my file 09/916,876. We have spoken previously regarding this file on September 28, 2001 and you were to issue me a new Missing Parts due to the fact the first one was incorrect. On November 5, 2001, I again faxed you information relating to this case requesting my new Missing Parts. To date, I have not received this document. Please review the attached information and please forward to me a new missing parts. I have spoken to the Examiner, Ms. Wong, who now has the file. She has instructed me to contact you to find out where the problem is and why you did not issue the new missing parts. We are now into an extension period, that I do not feel we should have to pay. If you need the file you can contact the Examiner at 703-308-3818 and she will gladly speak to you regarding this matter. Thank you for your assistance.

Very truly yours,



Tammy Senecal

DPM/ts

Encl.

IMPORTANT - THIS MESSAGE IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED, AND MAY CONTAIN INFORMATION THAT IS PRIVILEGED, CONFIDENTIAL AND EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT, OR THE EMPLOYEE OR AGENT RESPONSIBLE TO DELIVER IT TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT READING, DISSEMINATING, DISTRIBUTING OR COPYING THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE IMMEDIATELY NOTIFY US BY TELEPHONE, AND DESTROY THE COMMUNICATION. THANK YOU.

WALL MARJAMA & BILINSKI, LLP